

Data Protection Information for Shareholders, Shareholders' Proxies and Guests of the Annual General Meeting of Gerresheimer AG

Our Company, Gerresheimer AG, takes the protection of your personal data very seriously. We provide the following data protection information to let you know how your personal data will be processed and inform you of your rights under data protection law.

1. To whom is this data protection information applicable?

The following information applies to shareholders, shareholders' proxies and guests attending the Annual General Meeting of Gerresheimer AG.

2. Who is the data controller and who can I contact regarding data protection?

The data controller is:

Gerresheimer AG
Klaus-Bungert-Strasse 4
40468 Duesseldorf
Phone: +49 211 6181 – 00
Fax: +49 211 6181 – 295

Our external data protection officer can be contacted at:

Roland Schroeder
c/o SystemDatenschutzConsulting
Rebenlaube 12
45133 Essen
Phone: +49 201 564170 5
Fax: +49 32 123721967
Mob. +49 172 6443194
E-mail: data-protection@gerresheimer.com

3. What personal data will we collect and who will provide it to us?

When you register as an attendee or grant a proxy vote for the Annual General Meeting, we collect personal data about you and your proxy:

- Name
- Contact details (e.g. address, e-mail address)

We also process the following data about shareholders and their proxies:

- Shareholder data (e.g. name and contact details)
- Share-related data (e.g. number of shares)
- Annual General Meeting data (e.g. admission ticket number)

As a rule, Gerresheimer AG receives shareholders' personal data via the registration office from banks instructed by shareholders to hold their bearer shares in custody (custodian banks). In some cases, Gerresheimer AG may also receive personal data directly from shareholders.

4. For what purposes and on what legal basis do we process your personal data?

We collect and use the personal data provided by our shareholders, shareholders' proxies and guests for the following purposes:

- Organizing, conducting and ensuring access to and participation in the Annual General Meeting. In this regard, we process personal data notably to enable shareholder rights to be exercised (e.g. mandates to speak, casting of votes, proxies and instructions to the Company-designated proxies).
- Complying with the requirements of the German Stock Corporation Act (Aktiengesetz/AktG) (e.g. for the attendance list).
- Communicating with our shareholders regarding the Annual General Meeting and sending the relevant documents.

The processing of personal data for these purposes is legally mandatory. The legal basis for processing your personal data and the personal data of shareholders' proxies is the German Stock Corporation Act (Aktiengesetz/AktG) in conjunction with Article 6(1) sentence 1 (c) of the EU General Data Protection Regulation (GDPR). If necessary, we may also process your personal data to comply with other legal obligations, such as regulatory requirements or retention requirements under commercial and tax law.

In addition, we use your personal data pursuant to Article 6(1) sentence 1 (c) and Article 6(4) of the GDPR for purposes compatible with those mentioned above (in particular for compiling statistics, for example, to show changes in shareholder structure or obtain an overview of the largest shareholders).

In individual cases, we also process personal data for statistical purposes or to deal with contact and service inquiries, for example, provided this is necessary for the protection of legitimate interests (Article 6(1) sentence 1 (f) GDPR).

Should we wish to process your personal data for a purpose other than those mentioned above, we will inform you of such in accordance with the relevant legal provisions.

5. What categories of recipient process your data?

External service providers

We commission external service providers to prepare and conduct elements of our Annual General Meetings. These service providers obtain access to your personal data in connection with the tasks assigned to them. The partners we engage to perform these tasks are carefully selected and are bound by the data protection standards of Gerresheimer AG in accordance with Article 28 of the GDPR. Our partners are companies from the following categories: IT service providers and companies that provide services for the preparation and conduct of the Annual General Meeting.

The service providers commissioned by us process your personal data exclusively in accordance with our instructions and solely to the extent necessary for performance of the commissioned service. All employees of Gerresheimer AG and those of commissioned service providers who have access to and/or process your personal data are obliged to treat the data confidentially.

In addition, we may be legally required to provide your personal data to further recipients, including to authorities to meet statutory reporting requirements.

Further recipients

If you or a proxy appointed by you attend(s) the Annual General Meeting, other attendees at the Annual General Meeting are able to view the personal data included in the attendance list in accordance with section 129 AktG; shareholders of Gerresheimer AG may ask to view the attendance list up to two years after the Annual General Meeting (section 129(4) AktG). Counter-motions and nominations made by shareholders must be made accessible and include the name of the relevant shareholder in accordance with sections 126 and 127 AktG. Any requests for additions to the agenda to be disclosed under section 122(2) AktG are also published by Gerresheimer AG along with the name of the applicant.

6. For how long do we store your data?

In principle, we delete your personal data once it is no longer required for the specified purposes, once the personal data is no longer required for any administrative or court proceedings, and once there are no other legal record-keeping or retention obligations (e.g. under section 129(4) of the German Stock Corporation Act [Aktiengesetz/AktG], section 257(4) of the German Commercial Code [Handelsgesetzbuch/HGB], or section 147(3) of the German Fiscal Code [Abgabenordnung/AO]) which require the data to be stored for a longer period.

With regard to the data collected in connection with the exercise of voting rights at Annual General Meetings, in the case of proxy voting, the proxy form is stored for a period of three years (section 134(3) sentence 5 AktG); we store shareholders' mandates to speak, counter-motions and nominations for five years (section 126(2) no.5 and section 127 AktG). In individual cases, we may also store personal data if this is necessary in connection with claims made against our Company.

7. Data subject's rights

In accordance with the statutory requirements, you have the right to access your personal data (Article 15 GDPR) that is processed using the above-mentioned contact details and the right to obtain rectification (Article 16 GDPR), erasure (Article 17 GDPR) or restriction of processing (Article 18 GDPR) of your personal data.

Right to object (Article 21 GDPR): If we process your data to protect legitimate interests (Article 6(1) sentence 1 (f) GDPR), you may object to its processing. If you object, your personal data will no longer be processed, unless we can demonstrate compelling legitimate grounds for processing that override your interests, rights and freedoms, or the processing is required for the establishment, exercise or defense of legal claims. Please submit your objection to the contact details provided above.

Right to withdraw consent (Article 7(3) GDPR): If we process your personal data based on consent granted by you (Article 6(1) sentence 1 (a) GDPR), you may withdraw this consent at any time. Please submit your withdrawal of consent to the contact details provided above.

Right to data portability (Article 20 GDPR): If we process your personal data based on consent granted by you (Article 6(1) sentence 1 (a) GDPR), you have the right to receive the personal data relating to you in a structured, commonly used and machine-readable format.

In addition, you may contact the competent regulatory authority. The competent data protection authority for us is:

North Rhine-Westphalia Commissioner for Data Protection
and Freedom of Information
P.O. Box 20 04 44
40102 Duesseldorf
Phone: +49 211 38424 – 0
Fax: +49 211 38424 – 10
E-Mail: poststelle@ldi.nrw.de