

**Data Protection Information
for Shareholders, Shareholders' Proxies and Guests of the Gerresheimer AG 2021
virtual Annual General Meeting**

Our Company, Gerresheimer AG, takes the protection of your personal data very seriously. With the data protection notice set out in the following, we would like to inform you which of your personal data we process in accordance with the EU General Data Protection Regulation (GDPR) for the purpose of holding the virtual Annual General Meeting and of the rights that you have in this connection.

1. To whom does this data protection information apply?

The following information applies to shareholders, shareholders' proxies and guests taking part in the virtual Annual General Meeting of Gerresheimer AG.

2. Who is the data controller and who can I contact regarding data protection?

The data controller is:

Gerresheimer AG
Klaus-Bungert-Strasse 4
40468 Duesseldorf, Germany
Phone: +49 211 6181 – 00
Fax: +49 211 6181 – 295
E-mail: data-protection@gerresheimer.com
Website: www.gerresheimer.com

Our external data protection officer can be contacted at:

Roland Schroeder
c/o SystemDatenschutzConsulting
Rebenlaube 12
45133 Essen, Germany
Phone: +49 201 564170 5
Fax: +49 32 123721967
Mob. +49 172 6443194
E-mail: schroeder-dsc@web.de
Website: www.rs-datenschutzconsulting.de

3. What categories of personal data will we collect and who will provide it to us?

When you register as a shareholder, proxy or guest for the virtual Annual General Meeting or grant a proxy, we collect personal data about you and/or your proxy:

- Last name, first name, title
- Contact details (e.g. address, e-mail address)
- Shareholder data (e.g. name and contact details)
- Share-related data (e.g. number of shares, class of shares, type of shareholding)
- Annual General Meeting data (e.g. admission ticket number, portal access data: shareholder number, password)
- If you contact us, we may collect further personal data from you, such as your telephone number, in order to process your request.

As a rule, Gerresheimer AG receives shareholders' personal data via the registration office from banks instructed by shareholders to hold their bearer shares in custody (custodian banks). In some cases, Gerresheimer AG may also receive personal data directly from shareholders.

The following data and device information are logged in the web server log files in the event that you visit the shareholder portal online. Your browser transfers this data to us automatically:

- Login;
- IP address;
- Access date and time;
- Message whether access was successful;
- Shareholder number and session ID;
- Type of web browser used;
- Referrer URL (page previously visited).

4. For what purposes and on what legal basis do we process your personal data?

We collect and use the personal data provided by our shareholders, shareholders' proxies and guests for the following purposes:

- Organizing, conducting and ensuring participation in the virtual Annual General Meeting. In this regard, we process personal data notably to enable shareholder rights to be exercised (e.g. submission of questions, casting of votes, proxies and instructions to the Company-designated proxies).
- Complying with the requirements of the German Stock Corporation Act (Aktiengesetz/AktG) (e.g. for the attendance list).
- Communicating with our shareholders regarding the virtual Annual General Meeting and sending the relevant documents.

The processing of personal data for these purposes is legally mandatory. The legal basis for this processing is Article 6 (1) sentence 1 (c) GDPR read in conjunction with our obligations under sections 118 et seqq. of the German Stock Corporation Act (Aktiengesetz/AktG) and where applicable in conjunction with section 1 (2) nos. 2 and 4 of the Act Concerning Measures in Company, Cooperative, Association, Foundation and Condominium Law to Combat the Effects of the COVID-19 Pandemic.

We also have a legitimate interest in making the shareholder portal available to you as a service for shareholders, proxies and guests so that you can exercise your shareholder rights in a user-friendly manner and participate in the virtual Annual General Meeting. The legal basis for this processing is Article 6 (1) sentence 1 (f) GDPR.

If necessary, we may also process your personal data to comply with other legal obligations, such as regulatory requirements or retention requirements under commercial and tax law. In these cases, too, the relevant legal basis is Article 6 (1) sentence 1 (c) GDPR.

In addition, we use your data pursuant to Article 6 (1) sentence 1 (c) and Article 6 (4) GDPR for purposes compatible with those mentioned above (in particular for compiling statistics, for example, to show changes in shareholder structure or provide lists of the largest

...

shareholders, for notification obligations in connection with voting rights notifications under the Securities Trading Act (WpHG) and for processing contact and service requests).

Personal data will only be disclosed in connection with answering questions if the shareholder has expressly given consent to such disclosure when submitting the question. The legal basis for this processing is Article 6 (1) sentence 1 (a) GDPR.

Should we wish to process your personal data for a purpose other than those mentioned above, we will inform you of it in accordance with the relevant legal provisions.

5. What categories of recipient process your data?

External service providers

We commission external service providers to prepare and conduct elements of our virtual Annual General Meeting. These service providers obtain access to your personal data in connection with the tasks assigned to them. The partners we engage to perform these tasks are carefully selected and are bound by the data protection standards of Gerresheimer AG in accordance with Article 28 GDPR and process your personal data exclusively on instruction. The shareholder portal is operated by service provider Computershare Deutschland GmbH & Co. KG, Elsenheimerstr. 61, 80687 Munich, Germany.

The contractually agreed service is provided exclusively in a member state of the European Union, in a signatory state to the Agreement on the European Economic Area or in a third country for which there is an adequacy decision. Any relocation of the service in whole or in part to a third country requires the prior consent of the principal and may only take place if the specific conditions under Article 44 et seqq. GDPR are met (e.g. European Commission adequacy decision, European Commission standard data protection clauses, approved code of conduct).

Further recipients

If you or a proxy appointed by you take(s) part in the virtual Annual General Meeting, other participants in the virtual Annual General Meeting are able to view the personal data included in the attendance list in accordance with section 129 AktG; shareholders of Gerresheimer AG may ask to view the attendance list up to two years after the Annual General Meeting (section 129 (4) AktG). Counter-motions and nominations made by shareholders must be made accessible and include the name of the relevant shareholder in accordance with sections 126 and 127 AktG. Any requests for additions to the agenda to be disclosed under section 122 (2) AktG are also published by Gerresheimer AG along with the name of the applicant.

6. For how long do we store your data and when do we delete it?

In principle, we delete your personal data once it is no longer required for the specified purposes, once the personal data is no longer required for any administrative or court proceedings, and once there are no other legal record-keeping or retention obligations (e.g. under section 129 (4) AktG, section 257 (4) of the German Commercial Code [Handelsgesetzbuch], or section 147 (3) of the German Fiscal Code [Abgabenordnung] which require the data to be stored for a longer period.

With regard to the data collected in connection with the exercise of voting rights at Annual General Meetings, in the case of proxy voting, the proxy form is stored for a period of three years (section 134 (3) (5) AktG); we store shareholders' questions, counter-motions and nominations for five years (section 126 (2) (5) and section 127 AktG). In individual cases, we may also store personal data if this is necessary in connection with claims made against our Company.

7. Data subject's rights

In accordance with the statutory requirements, you have the right to access your personal data (Article 15 GDPR) that is processed using the above-mentioned contact details and the right to obtain rectification (Article 16 GDPR), erasure (Article 17 GDPR) or restriction of processing (Article 18 GDPR) of your personal data.

Right to object (Article 21 GDPR): If we process your data to protect legitimate interests (Article 6 (1) sentence 1 (f) GDPR), you may object to its processing. If you object, your personal data will no longer be processed, unless we can demonstrate compelling legitimate grounds for processing that override your interests, rights and freedoms, or the processing is required for the establishment, exercise or defense of legal claims. Please submit your objection to the contact details of the date controller provided above.

Right to withdraw consent (Article 7 (3) GDPR): If we process your personal data based on consent granted by you (Article 6 (1) sentence 1 (a) GDPR), you may withdraw this consent at any time. Please submit your withdrawal of consent to the contact details of the date controller provided above.

Right to data portability (Article 20 GDPR): If we process your personal data based on consent granted by you (Article 6 (1) sentence 1 (a) GDPR), you have the right to receive the personal data relating to you in a structured, commonly used and machine-readable format.

In addition, you may contact the competent regulatory authority. The competent data protection authority for us is:

North Rhine-Westphalia Commissioner for Data Protection
and Freedom of Information
Postfach 20 04 44
40102 Duesseldorf, Germany
Phone: +49 211 38424 – 0
Fax: +49 211 38424 – 10
E-mail: poststelle@ldi.nrw.de